

PATENT APPLICATION FEE DETERMINATION RECORD
Effective October 1, 2000

Application or Docket Number

09/875403
~~US010127(7790/21)~~

CLAIMS AS FILED - PART I

	(Column 1)	(Column 2)
TOTAL CLAIMS	20	
FOR	NUMBER FILED	NUMBER EXTRA
TOTAL CHARGEABLE CLAIMS	20 minus 20 =	0
INDEPENDENT CLAIMS	7 minus 3 =	4
MULTIPLE DEPENDENT CLAIM PRESENT <input type="checkbox"/>		

* If the difference in column 1 is less than zero, enter "0" in column 2

CLAIMS AS AMENDED - PART II

7-15-05

	(Column 1)	(Column 2)	(Column 3)
AMENDMENT A	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
Total	• 22 Minus	• 20	= 2
Independent	• 8 Minus	• 7	= 1
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <input type="checkbox"/>			

SMALL ENTITY TYPE ☐

RATE	FEE
BASIC FEE	355.00
X\$ 9=	
X40=	
+135=	
TOTAL	

OR OTHER THAN SMALL ENTITY

RATE	FEE
BASIC FEE	710.00
X\$18=	
X80=	320
+270=	
TOTAL	1030

SMALL ENTITY

RATE	ADDITIONAL FEE
X\$ 9=	
X40=	
+135=	
TOTAL ADDIT. FEE	

OR OTHER THAN SMALL ENTITY

RATE	ADDITIONAL FEE
X\$18=	50 100.
X80=	200 200.
+270=	
TOTAL ADDIT. FEE	300.

	(Column 1)	(Column 2)	(Column 3)
AMENDMENT B	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
Total	• Minus	•	=
Independent	• Minus	•	=
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <input type="checkbox"/>			

RATE	ADDITIONAL FEE
X\$ 9=	
X40=	
+135=	
TOTAL ADDIT. FEE	

RATE	ADDITIONAL FEE
X\$18=	
X80=	
+270=	
TOTAL ADDIT. FEE	

Best Available Copy

	(Column 1)	(Column 2)	(Column 3)
AMENDMENT C	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
Total	• Minus	•	=
Independent	• Minus	•	=
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <input type="checkbox"/>			

RATE	ADDITIONAL FEE
X\$ 9=	
X40=	
+135=	
TOTAL ADDIT. FEE	

RATE	ADDITIONAL FEE
X\$18=	
X80=	
+270=	
TOTAL ADDIT. FEE	

* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.

** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20."

*** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3."

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.



1FV 2614
\$

THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Srinivas V.R. Gutta
SERIAL NO.: 09/875,403 EXAMINER: Jade O. Laye
FILED: June 6, 2001 ART UNIT: 2614
FOR: EXPERT MODEL RECOMMENDATION METHOD AND
SYSTEM

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

AMENDMENT

Dear Sir:

In response to the Office Action dated April 13, 2005, please amend the
application as follows:

07/15/2005 FNETEKI1 00000057 09875403

01 FC:1201 200.00 OP
02 FC:1202 50.00 OP


07/26/2005 PZIRHERM 00000003 501713 09875403
01 FC:1202 50.00 DA

For all the foregoing reasons, it is respectfully submitted that all the present claims are patentable in view of the cited references. A Notice of Allowance is respectfully requested.

Respectfully submitted,

Dan Piotrowski
Registration No. 42,079

Date: July 13, 2005

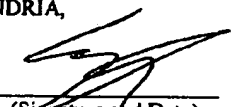

By: Steve Cha
Attorney for Applicant
Registration No. 44,069

Mail all correspondence to:
Dan Piotrowski, Registration No. 42,079
US PHILIPS CORPORATION
P.O. Box 3001
Briarcliff Manor, NY 10510-8001
Phone: (914) 333-9624
Fax: (914) 332-0615

Certificate of Mailing Under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to MAIL STOP AMENDMENT, COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA. 22313 on July 13, 2005.

Steve Cha, Reg. No. 44,069
(Name of Registered Rep.)


(Signature and Date)

BEST AVAILABLE COPY

BEST AVAILABLE COPY

JUL 15 2005

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

Application Number	09/875,403
Filing Date	June 6, 2001
First Named Inventor	S. V.R. Gutta et al.
Group Art Unit	2614
Examiner Name	Jade O. Laye
Attorney Docket Number	US010127

To Commissioner For Patents

Enclosed herewith is a Form PTO-1449, any required copies of documents listed thereon, and any concise explanation of their relevance is indicated below per 37 CFR 1.97.

☒ Please charge any required fee under §1.17(i) or §1.17(p) or any other required fee (except the issue fee) to Account No. 14-1270.

1. ☐ I certify that these documents were first cited in any communication from a foreign Patent Office in a counterpart foreign application not more than three (3) months ago.

2. ☐ I certify that none of these documents were cited in any communication from a foreign Patent Office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, none of these documents was known to any individual designated in §1.56(c) more than three (3) months ago.

☐ Applicant hereby petitions under §1.97(d) that this IDS be considered after final Action or Notice of Allowance, pays the fee under §1.17(p) as indicated below, and I certify 1. or 2. as indicated above.

☐ A fee under §1.17(p) is not required under §1.97(c), after the first Action on the merits and more than 3 months after the date of application or RCE, because I certify 1. or 2. as indicated above.

☒ A copy of the citations is not required because they were previously submitted or cited on March 13, 2003 in the U.S. patent application: Ser. No. 09/875,403, Filing Date June 6, 2001.

☐ A concise explanation of the relevance of each non-English document, as understood by the individual designated in §1.56(c) most knowledgeable about the contents, is enclosed per §1.98(a)(3).


The concise explanation of the relevance of any non-English document, as understood by the individual designated in §1.56(c) most knowledgeable about the contents, is that the document is/was (check one):

☒ cited in the specification or considered in drafting the specification of this application;

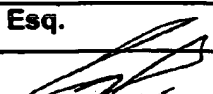
☐ previously submitted or cited in the parent application (or in a related patent application Ser. No. _____ Filing Date: _____);

☐ cited as an "X" or "Y" document in a foreign Patent Office search report in a foreign counterpart application, a copy of which report is also enclosed.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print Type)	Steve Cha, Esq.	Registration No. (Attorney/Agent)	44,069
Signature		Date	7/13/05

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this is being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, P.O. BOX 1450 Alexandria, VA 22313-1450, or facsimile transmitted to the U.S. Patent and Trademark Office tel. # _____ on the date below.			
Name (Print Type)	Steve Cha, Esq.	Date	7/13/05
Signature		Date	7/13/05

6-7-01

A

Express Mail® mailing label number EL 811 328 995 US

Date of Deposit JUNE 6, 2001

BEST AVAILABLE COPY

Case No. US010127
(7790/21)

PATENT APPLICATION TRANSMITTAL LETTER

To: The Assistant Commissioner for Patents:

Transmitted herewith for filing is the patent application of: SRINIVAS V.R. GUTTA, J. DAVID SCHAFFER, KAUSHAL KURAPATI for:

EXPERT MODEL RECOMMENDATION METHOD AND SYSTEM. Enclosed are:

- ☒ 4 sheet(s) of drawings, 21 pages of application (including title page), and the following Appendices: _____
- ☒ Combined form Declaration and Power of Attorney.
- ☐ Verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27.
- ☒ Assignment transmittal letter and Assignment of the invention to: Koninklijke Philips Electronics N.V.
- ☒ Other: Check No. 1214 for \$40.

1-c-978 U.S. PTO
09/875403
06/06/01

Claims as Filed	Col. 1	Col. 2
No. Filed	No. Filed	No. Extra
Basic Fee		
Total Claims	20-20	0
Indep. Claims	7-3	4
Multiple Dependent Claims Present		

*If the difference in col. 1 is less than zero, enter "0" in col. 2.

Small Entity	
Rate	Fee
	\$ 355
x\$9=	\$
x\$40=	\$
+\$135=	\$
Total	\$

Other Than Small Entity	
Rate	Fee
	\$710
x\$18=	\$
4x\$80=	\$320
+\$270=	\$
Total	\$1,030

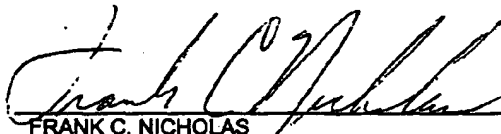
Please charge my Deposit Account No. 50-1713 (CARDINAL LAW GROUP) in the amount of \$_____. A duplicate copy of this sheet is enclosed.

Check No. 1215 in the amount of \$1,030 to cover the filing fee is enclosed.

The Assistant Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1713. A duplicate copy of this sheet is enclosed.

- ☒ Any additional filing fees required under 37 CFR § 1.16.
- ☒ Any patent application processing fees under 37 CFR § 1.17.
- ☐ The Assistant Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 50-1713. A duplicate copy of this sheet is enclosed.
 - ☐ Any filing fees under 37 CFR § 1.16 for presentation of extra claims.
 - ☐ Any patent application processing fees under 37 CFR § 1.17.
 - ☐ The issue fee set in 37 CFR § 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR § 1.311(b).

JUNE 6, 2001
Date


FRANK C. NICHOLAS
CARDINAL LAW GROUP
Registration No. (33,983)